

NVB 3001 (Effective 1/21)

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA		PROOF OF INTEREST
Name of Debtor: <b>META MATERIALS INC</b>	Case Number: <b>24-50792-hlb</b>	COURT USE ONLY
1. Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"):  <b>KERRY GAINS</b> <b>8750 CAPSTONE CANYON DRIVE</b> <b>TENNY A 34655</b>	<input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars.  <input checked="" type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case.  <input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors.	
Telephone Number: <b>727 858 8036</b>		
<b>NOTE: This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein.</b>		
Account or other number by which Interest holder identifies Debtor: <b>X83-961073 - FIDELITY</b> <b>446696502 - ROBINHOOD</b>	Check here if this claim: <input type="checkbox"/> replaces a previously filed Proof of Interest dated: _____ <input type="checkbox"/> amends a previously filed Proof of Interest dated: _____	
2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest: <b>FIDELITY INVESTMENTS</b> <b>PO BOX 770001</b> <b>CINCINNATI OH 45277</b> Telephone Number: <b>900-343-3548</b>	3. Date Equity Interest was acquired: <b>2021 - 2023</b> <b>JUNE 2021 TRCH</b> <b>NOV. 2022 MMAI</b> <b>OCT 2022 MMAI</b>	
4. Total amount of member interest: <b>6 as of 11/3/24</b> <b>- POST SPLIT.</b>	5. Certificate number(s): _____	
6. Type of Equity Interest: Please indicate the type of Equity Interest you hold: <input checked="" type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor. <input type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest: Description: <b>524 as of 7/23 - pre splits.</b>		
7. Supporting Documents: Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary.		
8. Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest.		
9. Signature: Check the appropriate box. <input checked="" type="checkbox"/> I am the creditor. <input type="checkbox"/> I am the creditor's authorized agent. <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent. <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor. (Attach copy of power of attorney, if any.) (See Bankruptcy Rule 3004.) (See Bankruptcy Rule 3005.)  I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief.  Print Name: <b>KERRY GAINS</b> Title: _____ Company: _____ Address and telephone number (if different from notice address above): _____  Telephone number: <b>727 858 8036</b> email: <b>Kerrygains@hotmail.com</b>		

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571





INVESTMENT REPORT  
September 1, 2024 - September 30, 2024

## Holdings

Account # X83-961073  
KERRY GAINS - INDIVIDUAL - TOD

### Stocks

Description	Beginning Market Value Sep 1, 2024	Quantity Sep 30, 2024	Price Per Unit Sep 30, 2024	Ending Market Value Sep 30, 2024	Total Cost Basis	Unrealized Gain/Loss Sep 30, 2024	EAI (\$) / EY (%)
<b>Common Stock</b>							
EROS MEDIA WORLD PLC ORD SHS A (POST REV SPLIT) ISIN #IM00BMT7S123 SEDOL #BMT7S12 (EMWPF)	\$0.01	5.000	\$0.0014	unavailable	\$283.45	unavailable	-
NORWEGIAN AIR SHUTTLE ASA NOK0.10 ISIN #NO0010198140 SEDOL #7742123 (NWARF)	13.59	13.000	1.2305	15.99	unknown	unknown	-
TALPHERA INC COM NEW(TLPH)	2.08	2.000	0.8566	1.71	87.91	-86.20	-
ARTIFICIAL INTELLIGENCE TECHNO COM NEW (AITX)	130.48	40,775.000	0.0032	130.48	399.52	-269.04	-
AVINGER INC COM NEW(AVGR)	1.11	1.000	0.9500	0.95	273.55	-272.60	-
CHARGEPOINT HOLDINGS INC COM CL A (CHPT)	37.60	20.000	1.3700	27.40	440.39	-412.99	-
COINBASE GLOBAL INC COM CL A (COIN)	366.72	2.000	178.1700	356.34	719.82	-363.48	-
INSPIREMD INC COM (NSPR)	11.00	4.000	2.8800	10.72	279.67	-268.95	-
META MATERIALS INC COM NEW ISIN #US59134N3026 SEDOL #BQ67J98 (MMATQ)	0.90	2.000	0.3700	0.74	288.29	-287.55	-
PRECIPIO INC COM (PRPO)	65.60	10.000	6.7000	67.00	934.17	-887.17	-
NEXT BRIDGE HYDROCARBONS INC \$0.0001 NEVADA	unavailable	140.000	-	unavailable	405.30	unavailable	-
SHIFT4 PMTS INC CL A (FOUR)	415.50	5.000	88.8000	443.00	357.29	85.71	-
SHOPIFY INC COM NPV CL A ISIN #CA82509L1076 SEDOL #BX865C7 (SHOP)	1,481.40	20.000	80.1400	1,602.80	625.06	977.74	-
TENAX THERAPEUTICS INC COM NEW (TENX)	7.98	2.000	3.4600	6.92	94.50	-87.58	-
TOUCHPOINT GROUP HLDGS INC COM (TGH)	unavailable	44.000	-	unavailable	51.15	unavailable	-

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85 Willow Rd, Menlo Park, CA 94025  
help@robinhood.com

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09/01/2024 to 09/30/2024

**Kerry Gains Account #:**446696502  
8750 Capstone Ranch Drive, Trinity, FL 34655

#### Account Summary

Opening Balance	Closing Balance
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Net Account Balance

\$17.20	\$8.18
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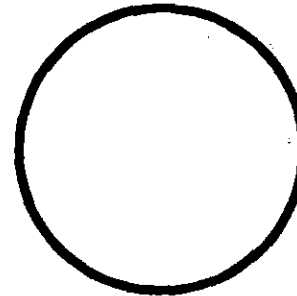
Total Securities

\$868.97	\$695.49
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Portfolio Value

\$886.17	\$703.67
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#### Portfolio Allocation



■ Cash and Cash Equivalents  
1.16%

■ Equities  
98.84%

■ Options  
0.00%

#### Income and Expense Summary

This Period	Year to Date
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Dividends

\$0.84	\$6.52
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Capital Gains Distributions

\$0.00	\$0.00
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Interest Earned

\$0.00	\$0.00
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This statement shall be conclusive if not objected to in writing within ten days (except with respect to debit card transactions). Errors and omissions exempted. Please address all communications to the firm and not to the individuals. Address changes or other material changes in your account should be directed to the office servicing your account. Kindly mention your account number. This statement should be retained for income tax purposes.

Robinhood Securities, LLC ("RHS") carries your account as the clearing broker by arrangement with your introducing broker-dealer, Robinhood Financial LLC ("RHF").

RHS is a Member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure available upon request or at [www.sipc.org](http://www.sipc.org).

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## Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
AMC Entertainment Estimated Yield: 0.00%	AMC	Margin	0.426667	\$4.6500	\$1.94	\$0.00	0.28%
Chewy Estimated Yield: 0.00%	CHWY	Margin	5	\$29.2900	\$146.45	\$0.00	20.81%
Catalyst Pharmaceuticals Estimated Yield: 0.00%	CPRX	Margin	1	\$19.8800	\$19.88	\$0.00	2.83%
EDGIO INC Estimated Yield: 0.00%	EGIOQ	Margin	0.05	\$0.1000	\$0.01	\$0.00	0.00%
Homology Medicines CVR Estimated Yield: 0.00%	FIXX^	Margin	115	\$0.0000	\$0.00	\$0.00	0.00%
Genius Group Estimated Yield: 0.00%	GNS	Margin	35.5	\$0.9080	\$32.23	\$0.00	4.58%
ContextLogic Estimated Yield: 0.00%	LOGC	Margin	1	\$5.4500	\$5.45	\$0.00	0.78%
Meta Materials Estimated Yield: 0.00%	MMATQ	Margin	4	\$0.3700	\$1.48	\$0.00	0.21%
Next Bridge Hydrocarbons Contra Estimated Yield: 0.00%	MMTZZZ	Margin	14	\$0.0000	\$0.00	\$0.00	0.00%
Moderna Estimated Yield: 0.00%	MRNA	Margin	0.325266	\$66.8300	\$21.74	\$0.00	3.09%
Mullen Automotive Estimated Yield: 0.00%	MULN	Margin	1	\$3.1500	\$3.15	\$0.00	0.45%
Novavax Estimated Yield: 0.00%	NVAX	Margin	0.481382	\$12.6300	\$6.08	\$0.00	0.88%
Ocugen, Inc Estimated Yield: 0.00%	OCGN	Margin	14.181714	\$0.9922	\$14.07	\$0.00	2.00%

## Portfolio Summary

Securities Held In Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
OPKO Health Estimated Yield: 0.00%	OPK	Margin	1	\$1.4900	\$1.49	\$0.00	0.21%
Pfizer Estimated Yield: 5.74%	PFE	Margin	2	\$28.9400	\$57.88	\$3.32	8.23%
Q32 Bio Inc. Estimated Yield: 0.00%	QTTB	Margin	6.388889	\$44.6200	\$285.07	\$0.00	40.51%
Regional Health Properties Estimated Yield: 0.00%	RHE	Margin	12	\$1.8235	\$21.88	\$0.00	3.11%
Spirit Airlines Estimated Yield: 0.00%	SAVE	Margin	20	\$2.4000	\$48.00	\$0.00	6.82%
SunLink Health Systems Estimated Yield: 0.00%	SSY	Margin	20	\$0.8000	\$16.00	\$0.00	2.27%
Verb Technology Estimated Yield: 0.00%	VERB	Margin	2	\$0.0478	\$0.10	\$0.00	0.01%
Vertex Energy Estimated Yield: 0.00%	VTNR	Margin	27	\$0.1156	\$3.12	\$0.00	0.44%
Vaxart Estimated Yield: 0.00%	VXRT	Margin	11.148914	\$0.8490	\$9.47	\$0.00	1.35%
<b>Total Securities</b>					<b>\$695.49</b>	<b>\$3.32</b>	<b>98.84%</b>
<b>Brokerage Cash Balance</b>					<b>\$8.18</b>		<b>1.16%</b>
<b>Total Priced Portfolio</b>					<b>\$703.67</b>		

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Cash Div: R/D 2024-07-26 P/D 2024-09-03 - 2 shares at 0.42	PFE	Margin	CDIV	09/03/2024				\$0.84
Summit Therapeutics CUSIP: 86627T108	SMMT	Margin	Sell	09/12/2024	12.631299	\$26.1366		\$330.14
ACH Withdrawal		Margin	ACH	09/16/2024			\$340.00	
Mullen Automotive CUSIP: 62526P406		Margin	SPR	09/17/2024	1S			
Mullen Automotive CUSIP: 62526P505		Margin	SPR	09/26/2024	1			
<b>Total Funds Paid and Received</b>							<b>\$340.00</b>	<b>\$330.98</b>

These transactions may not be reflected in the other summaries

Description	Acct Type	Transaction	Trade Date	Settle Date	Qty	Price	Debit	Credit
Total Executed Trades Pending Settlement							\$0.00	\$0.00

## Important Information

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on debit balances in your account may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 1st day of the month to the last day of the month. The interest is based on the average daily balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the new debit balance.

We are required to report to the Internal Revenue Service all cash dividends and interest credited to your account on securities held for you in our name. All dividends and interest credits should be included in your income tax return.

Information relative to regulatory trading/transaction fees and any other charges incurred in connection with equity or listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of the information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure which randomly selects from amongst all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise Robinhood of any material changes concerning your investment objectives or financial situation by updating your information using the Robinhood platform or by contacting [help@robinhood.com](mailto:help@robinhood.com).

Any free credit balances represent funds payable on demand of the customer.

RHS acts as clearing agent for your trades. Your account, which was introduced to us by RHF, is established under your name on a "fully disclosed" basis at RHS. You remain a customer of RHF.

### Notice to Customers

As required, under SEC rules, both the RHF and RHS Order Routing Reports as well as information regarding specific order routing information is available free of charge upon request.

As a clearing agent, RHS provides securities clearance and may provide order execution based on RHF instructions. RHS will not be involved with or have any responsibility for decisions regarding securities transactions in your account. RHF will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders and any instructions regarding the deposit or withdrawal of securities or monies should be made through RHF.

In addition to the above mentioned services, RHS will provide cashing services, safeguarding of funds and securities while in our possession, monitoring compliance with applicable credit Regulation T and RHS Internal policies, preparing and making accessible your account records (including transaction confirmations and periodic statements of your account).

The dividend totals reflected in the Income and Expense Summary are inclusive of both taxable and non-taxable dividends.

Interest charges to your account may be based on the size and net debit balance during the interest period. These rates are subject to revision with appropriate notice. For more complete information regarding interest charges to customers, consult the RHF Fee Schedule, available at <https://rbnhd.co/fees>.

Robinhood Gold Card is offered by Robinhood Credit, Inc. ("RCT") and is issued by Coastal Community Bank, Member FDIC, pursuant to a license from Visa U.S.A. Inc. RCT is a financial technology company, not a bank.

In case of errors or questions about your electronic transfers, including your debit card transactions, or if you think your statement or receipt is wrong or if you need more information about a transaction listed on the statement or receipt, email RHF or RHS at [help@robinhood.com](mailto:help@robinhood.com). RHF must hear from you no later than sixty (60) days after you were sent the FIRST statement on which the problem or error appeared.

1. Tell RHF your name and account number.
2. Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
3. Tell RHF the dollar amount of the suspected error.

RHF will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, RHF will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes RHF to complete our investigation.

Please see the Robinhood Securities, LLC & Robinhood Financial LLC Insured Network Deposit Sweep Program Disclosures, available at <https://rbnhd.co/ind-disclosure>, for the terms and conditions of the sweep program, including information regarding FDIC insurance coverage.

RHF and RHS are members of the Financial Industry Regulatory Authority, Inc. ("FINRA"), and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or via their website [www.finra.org](http://www.finra.org). RHS carries your account and acts as your custodian for funds and securities deposited with us directly by you, through RHF as a result of transactions we process to your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to RHF. In order to protect your rights, please confirm any oral communications in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to: [help@robinhood.com](mailto:help@robinhood.com).

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealers relationship with such venues. In addition, the Rule (SEC Rule 606) requires broker-dealers to disclose, upon customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact RHF.

All trade confirmations are transmitted on or about the transaction date.

### Statement of Financial Condition

Robinhood Securities, LLC. Unaudited Statement of Financial Condition as of June 30, 2024 is available on the Company's website at [www.robinhood.com/legal](http://www.robinhood.com/legal). A paper copy may be requested at no cost by calling 1-(800)-282-1327. On June 30, 2024, Robinhood Securities, LLC, had a net capital of \$2,457,014,912, which was \$2,349,014,248 in excess of its required net capital of \$108,000,664.

Please retain this statement as it will be helpful in preparing your income tax returns and may be needed along with subsequent statements to verify interest charges in your account. This statement shall be deemed conclusive unless objected to in writing within ten (10) days.

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50.00      50.00

Accounting period	Assets	Liabilities	Equity	Price	Share Value	Est. Dividend Yield	% of Total Proceeds
Current Shareholders' Interest as of 3/31/04	\$1,141	None	\$1,141	\$2.10	\$53.33	5.00	1.00%





INVESTMENT REPORT  
July 1, 2023 - July 31, 2023

## Holdings

Account # X83-961073  
KERRY GAINS - INDIVIDUAL - TOD

### Stocks

Description	Beginning Market Value Jul 1, 2023	Quantity Jul 31, 2023	Price Per Unit Jul 31, 2023	Ending Market Value Jul 31, 2023	Total Cost Basis	Unrealized Gain/Loss Jul 31, 2023	EAI (\$) / EY (%)
<b>Common Stock</b>							
EROS MEDIA WORLD PLC ORD SHS A (POST REV SPLIT) ISIN #IM00BMT7S123 SEDOL #BMT7S12 (EMWPF)	\$1.00	5.000	\$0.2000	\$1.00	\$283.45	-\$282.45	-
NORWEGIAN AIR SHUTTLE ASA NOK0.10 ISIN #NO0010196140 SEDOL #7742123 (NWARF)	12.49	13.000	1.0023	13.03	unknown	unknown	-
ACELRX PHARMACEUTICALS INC COM NEW (ACRX)	2.22	2.000	1.0300	2.06	87.91	-85.85	-
APPLE INC (AAPL)	969.85	5.000	196.4500	982.25	590.10	392.15	4.80
ARTIFICIAL INTELLIGENCE TECHNO COM NEW (AITX)	52.80	6,600.000	0.0069	45.54	246.74	-201.20	0.490
AVINGER INC COM NEW (AVGR)	4.38	6.000	0.7201	4.32	273.55	-269.23	-
CARNIVAL CORP COM USD0.01(CCL)	602.56	32.000	18.8400	602.88	489.86	113.02	-
CHARGEPOINT HOLDINGS INC COM CL A (CHPT)	175.80	20.000	8.6600	173.20	440.39	-267.19	-
COINBASE GLOBAL INC COM CL A (COIN)	143.10	2.000	98.6100	197.22	719.82	-522.60	-
DISCOVER FINANCIAL SERVICES(DFS)	584.25	5.000	105.5500	527.75	480.00	47.75	14.00
INSPIREMD INC COM (NSPR)	10.04	4.000	3.3300	13.32	279.67	-266.35	2.650
KB HOME (KBH)	310.26	6.000	53.9700	323.82	295.59	28.23	4.80
META MATERIALS INC COM ISIN #US69134N1046 SEDOL #BKSCVX7 (MMAT)	35.93	167.000	0.2485	41.49	288.29	-246.80	1.480
MICROSOFT CORP (MSFT)	340.54	1.000	335.9200	335.92	287.11	68.81	2.72
ONEOK INC COM USD0.01(OKE)	123.44	2.000	67.0400	134.08	105.62	28.46	0.810
PRECIPIO INC COM (PRPO)	78.00	200.000	0.3310	66.20	934.17	-867.97	7.64
							5.700

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85 Willow Rd, Menlo Park, CA 94025  
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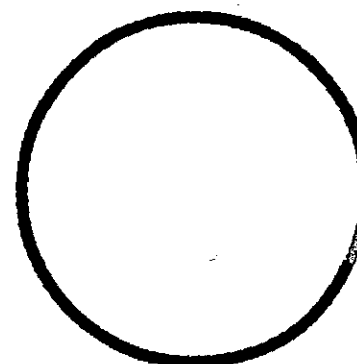
07/01/2023 to 07/31/2023  
**KERRY GAINS** Account #:446696502  
8750 Capstone Ranch Drive, Trinity, FL 34655

☐ Options☒ Equities☐ Cash and Cash Equivalents**Account Summary**

	Opening Balance	Closing Balance
Net Account Balance	\$174.25	\$123.77
Total Securities	\$1,445.04	\$1,668.54
<b>Portfolio Value</b>	<b>\$1,619.29</b>	<b>\$1,792.31</b>

**Income and Expense Summary**

	This Period	Year to Date
Dividends	\$2.00	\$19.05
Capital Gains Distributions	\$0.00	\$0.00
Interest Earned	\$0.00	\$0.00

**Portfolio Allocation**

- ☐ Cash and Cash Equivalents  
6.91%
- ☒ Equities  
93.09%
- ☐ Options  
0.00%

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## Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
AMC Entertainment Estimated Yield: 0.00%	AMC	Margin	2	\$4.97	\$9.94	\$0.00	0.55%
AMC Entertainment, Preferred Equity Units Estimated Yield: 0.00%	APE	Margin	2	\$1.85	\$3.70	\$0.00	0.21%
Chewy Estimated Yield: 0.00%	CHWY	Margin	5	\$33.90	\$169.50	\$0.00	9.46%
Catalyst Pharmaceuticals Estimated Yield: 0.00%	GPRX	Margin	1	\$13.83	\$13.83	\$0.00	0.77%
Edgio Estimated Yield: 0.00%	EGIO	Margin	2	\$0.79	\$1.57	\$0.00	0.09%
Homology Medicines Estimated Yield: 0.00%	FIXX	Margin	115	\$1.39	\$159.85	\$0.00	8.92%
Meta Materials Estimated Yield: 0.00%	MMAT	Margin	357	\$0.25	\$88.71	\$0.00	4.95%
Next Bridge Hydrocarbons Contra Estimated Yield: 0.00%	MMTZZZ	Margin	14	\$0.00	\$0.00	\$0.00	0.00%
Moderna Estimated Yield: 0.00%	MRNA	Margin	0.325266	\$117.66	\$38.27	\$0.00	2.14%
Mullen Automotive Estimated Yield: 0.00%	MULN	Margin	518	\$0.13	\$67.24	\$0.00	3.75%
Novavax Estimated Yield: 0.00%	NVAX	Margin	0.481382	\$9.28	\$4.47	\$0.00	0.25%
Ocugen, Inc Estimated Yield: 0.00%	OCGN	Margin	14.181714	\$0.62	\$8.72	\$0.00	0.49%
OPKO Health Estimated Yield: 0.00%	OPK	Margin	1	\$1.86	\$1.86	\$0.00	0.10%
Pfizer Estimated Yield: 4.49%	PFE	Margin	2	\$36.06	\$72.12	\$3.24	4.02%
Regional Health Properties Estimated Yield: 0.00%	RHE	Margin	12	\$3.20	\$38.40	\$0.00	2.14%
Spirit Airlines Estimated Yield: 0.00%	SAVE	Margin	20	\$18.30	\$366.00	\$0.00	20.42%

## Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
Summit Therapeutics Estimated Yield: 0.00%	SMMT	Margin	12.631299	\$2.10	\$26.53	\$0.00	1.48%
SunLink Health Systems Estimated Yield: 0.00%	SSY	Margin	20	\$0.95	\$19.00	\$0.00	1.06%
Verb Technology Estimated Yield: 0.00%	VERB	Margin	2	\$1.16	\$2.32	\$0.00	0.13%
Vertex Energy Estimated Yield: 0.00%	VTNR	Margin	27	\$5.27	\$142.29	\$0.00	7.94%
Vaxart Estimated Yield: 0.00%	VXRT	Margin	11.148914	\$0.82	\$9.12	\$0.00	0.51%
Western Alliance Bank Estimated Yield: 2.74%	WAL	Margin	8	\$51.95	\$415.60	\$11.38	23.19%
ContextLogic Estimated Yield: 0.00%	WISH	Margin	1	\$9.50	\$9.50	\$0.00	0.53%
<b>Total Securities</b>					<b>\$1,668.54</b>	<b>\$14.62</b>	<b>93.09%</b>
<b>Brokerage Cash Balance</b>					<b>\$123.77</b>		<b>6.91%</b>
<b>Total Priced Portfolio</b>					<b>\$1,792.31</b>		

## Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
Summit Therapeutics Estimated Yield: 0.00%	SMMT	Margin	12.631299	\$2.10	\$26.53	\$0.00	1.48%
SunLink Health Systems Estimated Yield: 0.00%	SSY	Margin	20	\$0.95	\$19.00	\$0.00	1.06%
Verb Technology Estimated Yield: 0.00%	VERB	Margin	2	\$1.16	\$2.32	\$0.00	0.13%
Vertex Energy Estimated Yield: 0.00%	VTNR	Margin	27	\$5.27	\$142.29	\$0.00	7.94%
Vaxart Estimated Yield: 0.00%	VXRT	Margin	11.148914	\$0.82	\$9.12	\$0.00	0.51%
Western Alliance Bank Estimated Yield: 2.74%	WAL	Margin	8	\$51.95	\$415.60	\$11.38	23.19%
ContextLogic Estimated Yield: 0.00%	WISH	Margin	1	\$9.50	\$9.50	\$0.00	0.53%
<b>Total Securities</b>					<b>\$1,668.54</b>	<b>\$14.62</b>	<b>93.09%</b>
<b>Brokerage Cash Balance</b>					<b>\$123.77</b>		<b>6.91%</b>
<b>Total Priced Portfolio</b>					<b>\$1,792.31</b>		

**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Meta Materials CUSIP: 59134N104	MMAT	Margin	Buy	06/29/2023	250	\$0.21	\$52.48	
Cash Div: R/D 2023-07-25 P/D 2023-07-31 - 20 shares at 0.1	SAVE	Margin	CDIV	07/31/2023				\$2.00
<b>Total Funds Paid and Received</b>							<b>\$52.48</b>	<b>\$2.00</b>

**Executed Trades Pending Settlement**

These transactions may not be reflected in the other summaries

Description	Acct Type	Transaction	Trade Date	Settle Date	Qty	Price	Debit	Credit
<b>Total Executed Trades Pending Settlement</b>							<b>\$0.00</b>	<b>\$0.00</b>

## Important Information

Robinhood Securities, LLC ("RHS") carries your account as the clearing broker by arrangement with your introducing broker-dealer, Robinhood Financial LLC ("RHF"). If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on debit balances in your account may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 1st day of the month to the last day of the month. The interest is based on the average daily balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the new debit balance.

We are required to report to the Internal Revenue Service all cash dividends and interest credited to your account on securities held for you in our name. All dividends and interest credits should be included in your income tax return.

Information relative to fees and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of the information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure which randomly selects from amongst all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise Robinhood of any material changes concerning your investment objectives or financial situation by updating your information using the Robinhood platform or by contacting help@robinhood.com.

RHS is a Member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure available upon request or at [www.sipc.org](http://www.sipc.org).

Any free credit balances represent funds payable upon demand which, although properly accounted for on our books and records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

### Notice to Customers

RHS acts as clearing agent for your trades. Your account, which was introduced to us by RHF, is established under your name on a "fully disclosed" basis at RHS. You remain a customer of RHF.

As required, under SEC rules, both the RHF and RHS Order Routing Reports as well as information regarding specific order routing information is available free of charge upon request.

As a clearing agent, RHS provides securities clearance and may provide order execution based on RHF instructions. RHS will not be involved with or have any responsibility for decisions regarding securities transactions in your account. RHF will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders and any instructions regarding the deposit or withdrawal of securities or monies should be made through RHF.

In addition to the above mentioned services, RHS will provide cashing services, safeguarding of funds and securities while in our possession, monitoring compliance with applicable credit Regulation T and RHS internal policies, preparing and making accessible your account records (including transaction confirmations and periodic statements of your account).

The dividend totals reflected in the Income and Expense Summary are inclusive of both taxable and non-taxable dividends.

Interest charges to your account may be based on the size and net debit balance during the interest period. These rates are subject to revision with appropriate notice. For more complete information regarding interest charges to customers, consult the RHF Fee Schedule, available at <https://rbnhd.co/fees>.

We also offer Robinhood Cash Management as an additional feature of your account. Robinhood Cash Management includes debit card access to your account and automatic sweep of uninvested cash to bank deposits. If you participate in Robinhood Cash Management, your use of the debit card, and your rights with respect to debit card transactions, will be governed by the Robinhood Debit Card Agreement, which has been provided to you and is available at <https://rbnhd.co/debit-card-agreement>.

In case of errors or questions about your electronic transfers, including your debit card transactions, or if you think your statement or receipt is wrong or if you need more information about a transaction listed on the statement or receipt, email Robinhood at [help@robinhood.com](mailto:help@robinhood.com). Robinhood must hear from you no later than sixty (60) days after you were sent the FIRST statement on which the problem or error appeared.

- A. Tell RHF your name and account number.
- B. Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- C. Tell RHF the dollar amount of the suspected error.

RHF will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, RHF will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes RHF to complete our investigation.

If you participate in Robinhood Cash Management, your uninvested cash is swept into accounts at FDIC-insured program banks, where your uninvested cash will earn interest from the program banks and be eligible for FDIC insurance up to applicable limits, subject to FDIC rules. Please see the Robinhood Securities, LLC & Robinhood Financial LLC Insured Network Deposit Sweep Program Disclosures, available at <https://rbnhd.co/ind-disclosure>, for the terms and conditions of this sweep program, including information regarding FDIC insurance coverage.

RHF and RHS are members of the Financial Industry Regulatory Authority, Inc. ("FINRA"), and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or via their website [www.finra.org](http://www.finra.org). RHS carries your account and acts as your custodian for funds and securities deposited with us directly by you, through RHF as a result of transactions we process to your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to RHF. In order to protect your rights, please confirm any oral communications in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to: [help@robinhood.com](mailto:help@robinhood.com).

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealers relationship with such venues. In addition, the Rule (SEC Rule 606) requires broker-dealers to disclose, upon customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact RHF.

All trade confirmations are transmitted on or about the transaction date. If you participate in the Dividend Reinvestment Plan program details concerning the reinvestment of dividends will be included on your monthly statements. RHS will act as agent in having your DRP purchases executed.

Statement of Financial Condition  
Robinhood Securities, LLC. Audited Statement of Financial Condition as of December 31, 2022 is available on the Company's website at [www.robinhood.com/legal](http://www.robinhood.com/legal). A paper copy may be requested at no cost by calling 1-(800)-282-1327. On December 31st, 2022, Robinhood Securities, LLC, had a net capital of \$2,502,937,230, which was \$2,437,257,308 in excess of its required net capital of \$65,679,922.

Please retain this statement as it will be helpful in preparing your income tax returns and may be needed along with subsequent statements to verify interest charges in your account. This statement shall be deemed conclusive unless objected to in writing within ten (10) days.



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YOU BOUGHT META MATERIALS  
INC COM ISIN US59134N...  
(59134N104) (Cash)  
-\$86.95

Date Nov-09-2022

Symbol 59134N104

Symbol desc. META MATERIALS INC COM  
ISIN US59134N1046 SEDOL  
BKSCVX7 1 FOR 100 R/S INTO  
META MATERIALS INC

Description YOU BOUGHT META  
MATERIALS INC COM ISIN  
US59134N... (59134N104) (Cash)

Type Cash

Shares +60.000

Price 1.4492

Amount -\$86.95

Settlement date Nov-14-2022

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7:58

98

X

YOU BOUGHT META MATERIALS  
INC COM ISIN US59134N...  
(59134N104) (Cash)

-\$24.53

Date Oct-31-2022

Symbol 59134N104

Symbol desc. META MATERIALS INC COM  
ISIN US59134N1046 SEDOL  
BKSCVX7 1 FOR 100 R/S INTO  
META MATERIALS INC

Description YOU BOUGHT META  
MATERIALS INC COM ISIN  
US59134N... (59134N104) (Cash)

Type Cash

Shares +22.000

Price 1.115

Amount -\$24.53

Settlement date Nov-02-2022

7:59

98

X

YOU BOUGHT META MATERIALS  
INC PFD SER A 0.00000...  
(59134N203) (Cash)

-\$76.50

Date Dec-08-2022

Symbol 59134N203

Symbol desc. META MATERIALS INC PFD  
SER A 0.00000%  
\*EXCHANGED FOR CUSIP  
591994371\*

Description YOU BOUGHT META  
MATERIALS INC PFD SER A  
0.00000... (59134N203) (Cash)

Type Cash

Shares +15.000

Price 5.10

Amount -\$76.50

Settlement date Dec-12-2022

7:58

98

X

YOU BOUGHT META MATERIALS  
INC COM ISIN US59134N...

(59134N104) (Cash)

-\$24.53

Date Oct-31-2022

Symbol 59134N104

Symbol desc. META MATERIALS INC COM  
ISIN US59134N1046 SEDOL  
BKSCVX7 1 FOR 100 R/S INTO  
META MATERIALS INC

Description YOU BOUGHT META  
MATERIALS INC COM ISIN  
US59134N... (59134N104) (Cash)

Type Cash

Shares +22.000

Price 1.115

Amount -\$24.53

Settlement date Nov-02-2022